

P21-163397M/YS  
NGB.327



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Kouichi Katou et al.

Serial No.: 10/702,038

Group Art Unit: 3676

Filing Date: November 6, 2003

Examiner: Unknown

For: LOCK APPARATUS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), the relevance of these documents is discussed on pages 1, 2 and 3 of the subject application. Further, English-language Abstracts are attached to the references.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to  
Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean M. McGinn".

Sean M. McGinn  
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**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

(Use several sheets if necessary)

A circular black ink stamp. The outer ring contains the text "U. S. PATENT & TRADEMARK OFFICE". Inside the circle, at the top, is "JUN 04 2004". At the bottom, it says "U. S. P. T. O.". There is some very faint, illegible text at the very top of the circle.

Docket Number (Optional)  
**P21-163397M/YS**

**Application Number**  
**10/702,038**

**Applicant(s)**

**Filing Date**

November 6, 2003

### **Group Art Unit**

3676

**U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	2003-13647	01/15/03	JAPAN			<u>ABS</u>	
	4-60079	02/26/92	JAPAN			<u>ABS</u>	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.